

FIA Japan Strategy FY2021 - Item Breakdown

Mission Statement

"FIA Japan's mission is to encourage the growth and success of the Japanese financial market-place and its development as a regional and global financial center, with the following three objectives: Provide a forum for discussion/communication; provide a variety of educational seminars and the like; monitor regulatory and legal developments."

1. FIA Japan Governance

- ✓ Diversity: FIAJ plans to focus on increasing diversity amongst the Board
- ✓ FIA Affiliation: We will consider how to further increase our collaboration with FIA
- ✓ Sustainability: Aiming to secure the future of our Association in the long-term
- ✓ Membership Structure / Categories; Review membership to further enhance our reputation
- ✓ Board Structure and Directors' Expertise: Leverage our Board's expertise to achieve our goals

2. Regulatory Affairs

- ✓ Advocate and Promote Markets
- ✓ Regulatory Roundtables
- ✓ Monitor Regulatory Developments

3. Education

- ✓ Seminars etc. for Industry Participants
- ✓ Website/Newsletter: Publicize and distribute fact-oriented news relevant to Japan

4. Tokyo Financial Center

- ✓ Re-engage Tokyo Governor Office: Offer our support to develop Tokyo as a Financial Center
- ✓ Connect with FinCity.Tokyo Initiative
- ✓ Industry Bodies Mapping and Collaboration

5. FIA Japan Office Strengthening

- ✓ Consider the pros/cons of a Physical Office
- ✓ Enhancement of Communication within our organization
- ✓ Clarification of Staff Duties / Responsibilities
- ✓ Improvement of Services to Members

6. Market Enhancement

- ✓ Exchange-related
 - ✧ Comprehensive Exchange Introduction / Promotion
 - ✧ Wash-trade Prevention
 - ✧ Market Maker Obligations in Volatile Periods
- ✓ Clearing-related
 - ✧ CCP Default Risk Customer Agreements
 - ✧ JSCC Unlimited Liability
 - ✧ Promotion of Robust Clearing System (Initial Margin Policy etc.)
- ✓ Regulatory
 - ✧ High Speed Trading (HST) Regulations
- ✓ Products
 - ✧ Other financial products that may pertain/affect the futures & options business, such as ETFs